

10/572792

1AP20 Rec'd PCT/PTO 21 MAR 2006

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q93833

Masaru SUZUKI, et al.

Appln. No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: March 21, 2006

Examiner: Not Yet Assigned

For: UNI-DIRECTIONAL OPTICAL POWER MONITOR

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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AP20R35U10P10 21 MAR 2006

INFORMATION DISCLOSURE STATEMENT  
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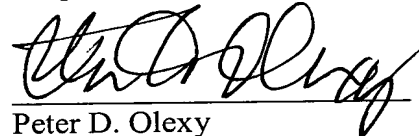
Q93833

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 21, 2006

Substitute for Form 1449 A & B/PTO  <p style="text-align: center;"><b><u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u></b></p> <p style="text-align: center;">(use as many sheets as necessary)</p>				<p style="text-align: center;"><i>Complete if Known</i></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Application Number</td> <td style="width:50%;">Not Yet Assigned</td> </tr> <tr> <td>Confirmation Number</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Filing Date</td> <td>March 21, 2006</td> </tr> <tr> <td>First Named Inventor</td> <td>Masaru SUZUKI</td> </tr> <tr> <td>Art Unit</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>Q93833</td> </tr> </table>		Application Number	Not Yet Assigned	Confirmation Number	Not Yet Assigned	Filing Date	March 21, 2006	First Named Inventor	Masaru SUZUKI	Art Unit	Not Yet Assigned	Examiner Name	Not Yet Assigned	Attorney Docket Number	Q93833
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Sheet	1	of	1																

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,749,347	B1	06-15-2004	Ichihara et al. *
		US 6,603,906	B2	08-05-2003	Qin et al.
		US			
		US			
		US			
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		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	2003-202262	A	07-18-2003	Santekku Kabushiki Kaisha	Yes
		JP	11-64687	A	03-05-1999	Alps Electric Co., Ltd.	Yes
		JP	2001-281501	A	10-10-2001	Fujitsu Ltd.	
		JP	64-80917	A	03-27-1989	Sumitomo Electric Industries	Abstract
		JP	2002-311293	A	10-23-2002	Alps Electric Co., Ltd.	Yes

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		MASAHIRO KAWASUGI ET AL.; "Hikari Bunki Kino Tsuki PD Module no Takinoka", The Institute of Electronics, Information and Communication Engineers Sogo Taikai Koen Ronbunshu Electronics 1, 07 March 2002; page 183	
		*U.S. 6,749,347 is the U.S. equivalent	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.